The Effect of Item Parameter Estimation Error on Decisions Made Using the Sequential Probability Ratio Test

Research Report ONR 87-1

Judith A. Spray Mark D. Reckase

Prepared under Contract No. N00014-85-C-0241, Contract Authority Identification No. NR 154-531, with the Cognitive Science Research Program of the Office of Naval Research.

Approved for public release; distribution unlimited. Reproduction in whole or in part is permitted for any purpose of the United States Government.

September 1987



For additional copies write: ACT Research Report Series P.O. Box 168 Iowa City, Iowa 52243

© 1988 by The American College Testing Program. All rights reserved.

Unclassified SECURITY CLASSIFICATION OF THIS PAGE

	REPORT DOCUM	MENTATION F	PAGE				
1a. REPORT SECURITY CLASSIFICATION		16. RESTRICTIVE MARKINGS					
UNCLASSIFIED							
2a. SECURITY CLASSIFICATION AUTHORITY		3. DISTRIBUTION / AVAILABILITY OF REPORT Approved for public release: distribution unlimited.					
2b. DECLASSIFICATION / DOWNGRADING SCHEDU	LE				t is permitted		
4. PERFORMING ORGANIZATION REPORT NUMBE	3(5)	for any pu 5. MONITORING C			ates Governme		
	· · · · · · · · ·			LI UNI NUMBER(<i></i> 1		
ONR 87-1							
5a. NAME OF PERFORMING ORGANIZATION	6b. OFFICE SYMBOL (If applicable)	7a. NAME OF MO					
ACT	(ii appiicaole)	-	SCIENCE RE: NAVAL RESE		RAMS		
6c. ADDRESS (City, State, and ZIP Code)	1	7b. ADDRESS (City					
		Code 1142		C9461			
P.O. Box 168			CS , VA 22217	-5000			
Iowa City, IA 52243							
Ba. NAME OF FUNDING/SPONSORING ORGANIZATION	Bb. OFFICE SYMBOL (If applicable)	9. PROCUREMENT		ENTIFICATION NU	JMBER		
	ppricodrey	N00014-85	-C-0241				
8c. ADDRESS (City, State, and ZIP Code)		10. SOURCE OF FU		IS			
		PROGRAM ELEMENT NO.	PROJECT NO.	TASK NO.	WORK UNIT		
		61153N	RR04204				
11. TITLE (Include Security Classification)	<u> </u>			RR0420401			
The effect of item parameter probability ratio test	estimation erro	or on decisio	ons made usi	ng the sequ	entional		
12. PERSONAL AUTHOR(S)							
Judith A. Spray, Mark D. Recl							
13a. TYPE OF REPORT13b. TIME COTechnicalFROM	DVERED TO	14. DATE OF REPOR		Day) 15. PAGE 26	COUNT		
16. SUPPLEMENTARY NOTATION		<u>1987, Oct</u>	.VNC1				
	10 000000000000000000000000000000000000		. M				
17. COSATI CODES FIELD GROUP SUB-GROUP	18. SUBJECT TERMS (C		-				
	Adaptive tes Item respons	-	sequential p		ratio tests		
	Latent trait	t theory					
19. ABSTRACT (Continue on reverse if necessary	and identify by block n	number)					
A series of computer sim							
item response theory (IRT) it based sequential probability		cimation erro ecifically, t					
misclassification rates and t	-	-					
(pass) or nonmastery (fail) d	ecision were obs	served under	varied SPRT	conditions ?	3. These		
conditions include the a prio	ri or nominal ty	ype I (α) and	i type II (β) error rat	tes, the		
simple hypotheses tested by t							
(specifically the \underline{a} , \underline{b} and \underline{c}							
three-parameter logistic mode tions showed that these SPRT	1) used to admit decisions are re-	iister the SF	fectod be	sults of th	iese simula-		
of error in parameter estimat							
slightly greater when estimat							
differences appear to be negl			F*	,			
			CURITY CLASSIFIC	ATION			
22a, NAME OF RESPONSIBLE INDIVIDUAL	RPT. DTIC USERS		FIED Include Area Code	220 OFFICE C	YMBOL		
Dr. Charles Davis			96-4046	ONR 114			
	PR edition may be used ur			CLASSIFICATION	OF THIS PAGE		

All other editions are obsolete.

DD FORM 1473, 84 MAR

Uncl	assi	fied
------	------	------

THE EFFECT OF ITEM PARAMETER ESTIMATION ERROR ON DECISIONS MADE USING THE SEQUENTIAL PROBABILITY RATIO TEST

Judith A. Spray Mark D. Reckase

.

Approved for public release; distribution unlimited. Reproduction in whole or in part is permitted for any purpose of the United States Government. .

-

ABSTRACT

A series of computer simulations were performed in order to observe the effects of item response theory (IRT) item parameter estimation error on decisions made using an IRT-based sequential probability ratio test. Specifically, the effects of such error on misclassification rates and the average number of items required for either a mastery (pass) or nonmastery (fail) decision were observed under varied SPRT conditions. These conditions included the a priori or nominal type I (α) and type II (β) error rates, the simple hypotheses tested by the SPRT procedure, and the composition of the item pool (specifically the a, b and c parameters which characterized the items according to a three-parameter logistic IRT model) used to administer the SPRT. The results of these simulations showed that these SPRT decisions are not greatly affected by this particular level of error in parameter estimates modeled in this study. Misclassification error rates were slightly lower and average numbers of items required for a decision were slightly greater when estimation error in the item parameters was present, but such differences appear to be negligible.

i

-

The Effect of Item Parameter Estimation Error on Decisions Made Using the Sequential Probability Ratio Test

Wald's (1947) sequential probability ratio testing (SPRT) procedure has been proposed as a technique for making pass-fail or mastery-nonmastery decisions in adaptive testing situations (Reckase, 1983). The SPRT was originally proposed by Wald in order to decide between two simple hypotheses, H_0 and H_1 , or

$$H_{0}: \quad \theta = \theta_{0}$$
vs.

$$H_{1}: \quad \theta = \theta_{1},$$

where θ is an unknown parameter of the distribution of some random variable, <u>X</u>. In a cognitive testing situation, the random variable, <u>X</u>, is the response to a test item and is usually assumed to be a dichotomous response, correct or incorrect.

In the case of cognitive testing, the random variable, \underline{X} , is assumed to follow a binomial distribution. If $P(\theta_i)$ is the probability that examinee \underline{i} will respond correctly to any item and $Q(\theta_i) = 1 - P(\theta_i)$ is the probability of an incorrect response from examinee \underline{i} , then (for any single item) the random variable, \underline{X} , represents a single Bernoulli trial and is distributed as bin $[P(\theta_i), 1]$. Then, let

$$\pi(\theta_{i}) = \operatorname{Prob} \left(\underline{X} = \underline{x} \middle| \theta = \theta_{i}\right) = P(\theta_{i})^{x} Q(\theta_{i})^{1-x}$$

where

$$\underline{x} = \begin{cases} 1, \text{ correct response} \\ 0, \text{ incorrect response} \end{cases}$$

For any single item, the probability of observing $\underline{X} = \underline{x}$ under the alternative hypothesis is $\pi(\theta_1)$. Under the null hypothesis, this probability is $\pi(\theta_0)$. The functions, $\pi(\theta_1)$ and $\pi(\theta_0)$ are called likelihood functions of \underline{x} . A ratio of these two functions, $L(\underline{x}) = \pi(\theta_1)/\pi(\theta_0)$, is called a <u>likelihood</u> <u>ratio</u>.

Two error probabilities, α and β , can be defined, where

Prob (choosing
$$H_1 | H_0$$
 is true) = a

and

Prob (choosing
$$H_0 | H_1$$
 is true) = β .

Wald (1947) defined two likelihood ratio boundaries using inequalities which involved these error probabilities. These boundaries are <u>A</u> and <u>B</u> where

lower boundary = $B \ge \beta/(1-\alpha)$

and

```
upper boundary = A \leq (1-\beta)/\alpha.
```

According to Wald's SPRT, trials or items would be observed in sequence, $\underline{x}_1, \underline{x}_2, \ldots, \underline{x}_n$, and following each observation, the likelihood ratio, $L(\underline{x}_1, \underline{x}_2, \ldots, \underline{x}_n)$, would be computed, where

$$L(\underline{x}_1, \underline{x}_2, \ldots, \underline{x}_n) = \frac{\pi_1(\theta_1) \cdot \pi_2(\theta_1) \cdot \cdots \pi_n(\theta_1)}{\pi_1(\theta_0) \cdot \pi_2(\theta_0) \cdot \cdots \pi_n(\theta_0)}$$

The likelihood function then would be compared to the boundaries, \underline{A} and \underline{B} . If

$$L(\underline{x}_1, \underline{x}_2, \cdots, \underline{x}_n) \ge A,$$

then H_1 is accepted. If

$$L(\underline{x}_1, \underline{x}_2, \cdots, \underline{x}_n) \leq B,$$

then H_0 is accepted. If

$$B < L(\underline{x}_1, \underline{x}_2, \cdots, \underline{x}_n) < A,$$

then another trial is observed, or in the case of cognitive testing, another item is administered.

Once α , β and the hypotheses are set prior to testing, the stopping rules of the test (i.e., the boundaries) are defined. Although α and β are determined prior to observing \underline{x} , where $\underline{x}' = (\underline{x}_1 \ \underline{x}_2 \ \cdots \ \underline{x}_n)$, Wald (1947) pointed out that the actual error rates observed in practice, α'' and β'' , would be bounded from above by

$$\alpha^* \leq \alpha/(1-\beta)$$

and

$$\beta^{*} \leq \beta/(1-\alpha)$$

(see Wald, 1947, p. 46). This means that even though the nominal error probabilities, α and β , are established prior to testing, the actual error rates can be less than these nominal rates, or even greater than the nominal rates. Reckase (1983) reported the results of computer simulation research of the SPRT procedure as it applied to tailored or computerized adaptive testing (CAT) for making mastery testing decisions. He noted that this research had three purposes: (1) to obtain information on how the SPRT procedure functioned when items were selected from the item pools on the basis of maximizing item information rather than on the basis of a simple random sampling procedure; (2) to gain experience in selecting values of θ_0 and θ_1 , assumed to be the two critical values of ability required to be classified as nonmaster or master, respectively; and (3) to obtain information on the effects of guessing on the accuracy of classification when the form of P(θ) was the one-parameter logistic IRT (item response theory) model but a three-parameter logistic model was used to determine the responses.

Reckase's first concern, (I) above, was that, in a given pool of test items, only a small portion of these items would be available for selection for a given examinee and that the selection of test items would be based on estimates of θ after the administration of, say <u>n</u> items. This is because the selection of the <u>n</u>+lst item is dependent upon maximum item information at $\hat{\theta}_n$, max I($\hat{\theta}$)_n, where

$$I(\hat{\theta}_{n}) = \frac{P'(\hat{\theta}_{n})}{P(\hat{\theta}_{n})Q(\hat{\theta}_{n})},$$

and $P(\hat{\theta}_n)$ is the derivative of $P(\theta)$ w.r.t. θ , evaluated at $\hat{\theta}_n$.

It would appear that this nonrandom selection process would not really be a problem because the stopping rule of the SPRT is determined by prior knowledge of α , β , θ_0 and θ_1 before the test even begins and because $L(\underline{x}, \underline{x}_2, \ldots, \underline{x}_n)$ is written as the product of the individual item likelihood ratios through the assumption of local independence of the \underline{x} , given θ_i .

However, a problem may occur when it is time to generalize the results of

4

the mastery/nonmastery decision-making process, as defined by the SPRT. In most mastery situations, it is desirable to generalize the results of a mastery test to the entire domain of objectives measured by the test, and this domain is usually represented by the entire item pool. If, however, items are selected on the basis of max $I(\hat{\theta}_n)$, then inferences made to the entire pool of items may be questionable. On the other hand, one could always claim that the inferences are actually being made or generalized to the ability level or the latent trait value (call it θ_c) required before an individual examinee can pass the criterion number of items in the item pool, $\pi(\theta_c)$.

Perhaps a more serious concern is the effect of assuming that the function, $P(\theta_i)$, is only a function of θ_i , and <u>known</u> item parameters. For the IRT models which would be assumed to define $P(\theta_i)$ explicitly, the item parameters are usually treated as known values in CAT administrations. The item pool contains values of these item parameters so that $L(\underline{x}_1, \underline{x}_2, ..., \underline{x}_n)$ and $I(\hat{\theta}_n)$ can be computed during the test. However, these values are, themselves, estimates of the true but unknown item parameters. The estimates have been obtained in calibration computer runs prior to the CAT administrations and are stored along with the actual items in the pool.

The present computer simulation study was designed to investigate the effects of item parameter estimation error on the characteristics of the SPRT procedure. In this first phase of a thorough investigation, a strict SPRT was administered, meaning that the test was not adaptive (i.e., θ was not estimated and items were not selected for administration based on max I $\{\hat{\theta}\}$). The research question to be answered by these simulations was, "What are the effects on observed type I (α ") and type II (β ") error rates when an SPRT is administered from item pools which contain items whose parameters are estimates rather than known values?" A secondary interest was to observe the

effects of these conditions on the average number of test items required to make a classification decision at each value of θ (particularly at θ_0 and θ_1). This number, called the average sample number (ASN) is a function of the stopping rule of the tests (i.e., it is a function of α , β , θ_0 and θ_1).

Method

Two hundred eighty-eight computer simulations were completed on either an IBM PC or XT. These 288 simulations represented one combination of conditions from a 2 X 4 X 3 X 3 X 4 completely crossed design. Each of these runs consisted of 1000 replications of an SPRT administered to all of 24 hypothetical examinees with ability, θ_i , ranging from -3.0 to +3.0, incremented by .25.

The research design conditions were (1) an estimation error condition, (2) composition of the item pools, (3) <u>a priori</u> type I error rate (α), (4) <u>a</u> <u>priori</u> type II error rate (β), and (5) hypotheses. It was assumed that the item pools contained items which interacted with each examinee according to a threeparameter logistic model (3-PLM) to produce a correct or incorrect response to each item.

Conditions

Estimation error. There were two levels of the estimation error condition, <u>absent</u> (<u>E</u>1) or <u>present</u> (<u>E</u>2). Under the <u>absent</u> level (<u>E</u>1), the item parameters from the items in the pools were considered to be known values, and each of the 24 hypothetical examinees in the similations with ability, θ_i , responded to the items in the pool by comparing a deviate from a uniform distribution on the open interval, 0 to 1, with the P(θ_i) function given by the 3-PLM, abbreviated as P_i .

6

Under the <u>present</u> level, it was assumed that the item parameters were actually estimates derived from previous maximum likelihood estimation (MLE) calibrations on 2500 examinees with ability, θ , distributed as normal with mean zero and variance one. According to the notation used by Thissen and Wainer (1982), the maximum likelihood estimates of the set of item parameters, ξ , are those that are located where the partial derivatives of the log of the likelihood function, summed over N examinees, are zero. If ℓ is this sum, or

$$l = \sum_{i=1}^{N} \frac{x}{i} \log (P_i) + (1 - \frac{x}{i}) \log (1 - P_i),$$

then, again from Thissen and Wainer (1982) but written without the \underline{i} subscript, these MLEs satisfy

$$\frac{\partial L}{\partial \xi} = \Sigma \frac{x}{P} \frac{\partial P}{\partial \xi} - \frac{(1-x)}{(1-P)} \frac{\partial P}{\partial \xi} = 0 \qquad (1)$$

The inverse of the negative expected value of the matrix of second derivatives of the function, 2, is the asymptotic variance-covariance matrix of the estimates, $\hat{\xi}$, obtained from the relationship given by (1). If the second partial derivatives of 2 are written, in general, as $\partial^2 2/\partial \xi_s \partial \xi_t$, for any parameters, ξ_s and ξ_r , then

$$-E\left\{\partial^{2} \ell/\partial \xi_{s} \partial \xi_{t}\right\} = N \int_{-\infty}^{\infty} \left\{\frac{1}{P} \frac{\partial P}{\partial \xi_{s}} \frac{\partial P}{\partial \xi_{t}} + \frac{1}{(1-P)} \frac{\partial P}{\partial \xi_{s}} \frac{\partial P}{\partial \xi_{t}}\right\} \phi(\theta) d\theta, \qquad (2)$$

where $\phi(\theta)$ is taken to be a normal density with zero mean and variance one (Thissen & Wainer, 1982). In other words, if Σ is the variance-covariance matrix of ξ , then Σ is defined by the inverse of the matrix whose elements are given by (2). 7

For the <u>present</u> level (E2) of the estimation error condition, it was assumed that the item parameters were actually estimates sampled from a multivariate normal distribution with mean vector ξ and variance-covariance matrix Σ , where ξ was given for the item pool used for a particular SPRT and Σ was computed from (2).

<u>Item Pools</u>. There were four types of item pools used in the simulations. The first three consisted of 500 identical items from a three-parameter logistic IRT model of the form,

$$P(\theta_i) = c + \frac{(1 - c)}{1 + \exp\{-1.7a(\theta_i - b)\}}$$
 (3)

For the first pool (<u>I</u>1), <u>a</u> = 1, <u>b</u> = 0, and <u>c</u> = 0 for all 500 items. Under the <u>E</u>1 condition, these identical items represented a simple SPRT with constant success probability, $P(\theta_i)$ for a given θ_i value. Under the <u>E</u>2 condition, the items were still administered in sequence but were no longer identical because each item represented a different set of item parameter estimates. For example, even though <u>a</u>₁ = <u>a</u>₂ = ... = <u>a</u>₅₀₀, each <u>a</u> parameter represented an estimate, \hat{a}_i , where

$$\underline{\underline{a}}_{j} = \underline{\underline{a}}_{j} + \varepsilon_{\underline{a}j},$$

and ϵ was a random deviate from a multivariate normal distribution with mean \underline{aj} vector 0 and variance-covariance matrix Σ , defined previously.

For the second item pool (<u>I</u>2), <u>a</u> = 1, <u>b</u> = 0, and <u>c</u> = .2. For the third pool (<u>I</u>3), <u>a</u> = 1.5, <u>b</u> = 0, and <u>c</u> = .2. Again, under <u>E</u>1 these item parameters remained constant for all 500 items in a pool. However, under <u>E</u>2, item parameter values were assumed to be estimates (<u>a</u> + $\epsilon_{\underline{a}j}$, <u>b</u> + $\epsilon_{\underline{b}j}$, and <u>c</u> + $\epsilon_{\underline{c}j}$ with $\epsilon_{\underline{a}j}$, $\epsilon_{\underline{b}j}$, and $\epsilon_{\underline{c}j}$ being random deviates as before). For the fourth item pool (<u>I</u>4), the 500 sets of parameters were generated from a pseudo-random number generator with <u>a</u> ~ U(.5, 2.5), <u>b</u> ~ U(-3., 3.), and <u>c</u> ~ U(.0, .2). This was called the random item pool.

Error Rate Conditions. Type I or α rates were .01 (A1), .05 (A2), and .10 (A3). Type II or β rates were also .01 (B1), .05 (B2), and .10 (B3).

<u>Hypotheses</u>. In a mastery testing situation, the usual practice is to establish a single cutoff point along the ability scale, θ_c , which corresponds to a minimum proportion of items in the domain, $\pi(\theta_c)$, that an examinee is expected to answer correctly in order to be classified as a master. The relationship between θ_c and $\pi(\theta_c)$, for example, might be

$$\frac{1}{n} \sum_{j=1}^{n} P_j(\theta_c) = \pi(\theta_c),$$

where <u>n</u> is the number of items in the pool representing this testing domain. Because the SPRT procedure requires the setting of two values of θ in a simple hypothesis configuration, one usually sets $\theta_0 < \theta_c < \theta_1$. The region between θ_0 and θ_1 is referred to as an indifference region. Reckase (1983) stated that "in order to use the SPRT, a region must be specified around θ_c for which it does not matter whether a pass or a fail decision is made. If high accuracy is desired for the decision rule, a narrow indifference region must be specified, but more items will be required to make the decision. As the region gets wider, the decision accuracy declines, but fewer items are required" (p. 243).

In the present study, four simple hypotheses were used to establish four sizes of indifference regions around the chosen value of $\theta_c = .00$. These sets of hypotheses (θ_0 , θ_1) were (1) H1: (-.25, .25), (2) H2: (-.5, .5), (3) H3: (-.75, .75), and (4) H4: (-1.0, 1.0).

Results

The results of these 288 computer simulations focused on the effects of the E2 condition on four characteristics or measures of an SPRT: actual or observed α rate (α^*), actual or observed β rate (β^*), average sample number or ASN when $\theta = \theta_0$, and ASN when $\theta = \theta_1$. These results are given in Tables 1 through 6 in terms of overall and marginal means and standard deviations of these variables under the El and E2 conditions.

Actual Error Rates

Table 1 shows that even though a nominal type I error or α rate was established prior to the usual SPRT, the observed rate (α^*) was actually lower than the nominal one. Under the <u>El</u> condition, α^* was .007, .034, and .060, for <u>Al</u>, <u>A2</u>, and <u>A3</u> nominal rates, respectively. Under the <u>E2</u> condition, these observed α rates were lower still, .005, .030, and .065, for <u>Al</u>, <u>A2</u>, and <u>A3</u>. However, the overall decrease in α^* for <u>E2</u> (i.e., from .036 to .033) was quite small and probably insignificant from a practical standpoint.

There was a relatively large decrease in overall mean α^{+} under E2 for the fourth hypothesis, H4, where the mean $\alpha^{+} = .027$ (see Table 1). A further analysis of α^{+} by the nominal error rates, A1, A2, and A3 for this E2-H4 combination revealed that all three values of α^{+} were lower for H4, although these values were usually lower for each hypothesis under E2, regardless of the nominal α level.

The two exceptions, as seen in Table 2, are at the <u>A</u>3 level. No reasons for these lower α^* were apparent from inspection of further analyses within the design. Table 3 shows that the observed β rates (β^*) were affected even less under the E2 condition than the α^* rates. Although β^* was usually smaller under E2 versus E1, this difference was never greater than .002. However, there was a relatively large decrease in β^* under the I4 condition for both E1 and E2. Table 4 shows that the β^* rate was lower under all nominal β rates when the item pool consisted of items with variable item parameter values (either known or estimated).

Average Sample Numbers

The overall effect of $\underline{E}2$ on average sample number (ASN) was to increase the number of test items required to make a classification decision at each θ level for which the ASN was analyzed. Table 5 shows that when $\theta = \theta_1$, this overall increase in ASN amounted to 1.1 items from <u>E1</u> to <u>E2</u>. The greatest increase occurred under the H1 condition (42.5 to 46.8).

Table 6 shows that when $\theta = \theta_0$, the increase in ASN from <u>E</u>l to <u>E</u>2 was even smaller (.8). Again, the greatest increase occurred under the <u>H</u>l condition (41.5 to 44.2).

It was interesting to note the effects of different item pools on the ASN. Tables 5 and 6 show that, regardless of the estimation error condition, the ASN increased when items within the pool included a nonzero value for <u>c</u>, the pseudoguessing parameter. When items became more discriminating (i.e., when the discrimination or <u>a</u> parameter changed from 1.0 to 1.5), a decrease in ASN was noted. However, when items had variable item parameters, as was the case under the <u>I4</u> or random item pool condition, the ASN increased significantly. The observed effects on the ASN under the fixed item pools, <u>I1</u>, <u>I2</u>, and <u>I3</u>, are more easily understood when the hypotheses and the indifference regions are transformed into functions of θ_0 and θ_1 , namely $\pi(\theta_0)$ and $\pi(\theta_1)$. Because all of the items in these pools are identical,

$$\pi(\theta_0) = \frac{c + (1 - c)}{1 + \exp \{-1.7a(\theta_0 - b)\}} = \pi_0$$

and

$$\pi(\theta_1) = \frac{c + (1 - c)}{1 + \exp \{-1.7a(\theta_1 - b)\}} = \pi_1$$

Table 7 shows these transformed hypotheses and indifference region lengths in terms of $\pi(\theta_0)$ and $\pi(\theta_1)$. Wald's SPRT theory predicts that the ASN for any value of θ will increase as the size of the indifference region decreases. Therefore, it is no surprise that, of the three fixed pools, the <u>I</u>2 pool produced the highest ASN at θ_0 and θ_1 while <u>I</u>3 showed the smallest overall ASN values. For the random item pool, π_0 and π_1 in Table 7 were defined in terms of the averages, $\overline{\pi}_0$ and $\overline{\pi}_1$, across the 500 sets of item parameters in <u>I</u>4, or

$$\bar{\pi}_{0} = \frac{1}{500} \sum_{j=1}^{500} c_{j} + (1 - c_{j}) / [1 + \exp\{-1.7a_{j} (\theta_{0} - b_{j})\}]$$

and

$$\bar{\pi}_{1} = \frac{1}{500} \sum_{j=1}^{500} c_{j} + (1 - c_{j}) / [1 + \exp\{-1.7a_{j}(\theta_{1} - b_{j})\}]$$

The smaller average indifference regions encountered for $\underline{I4}$ would appear to account for larger ASN values for I4 in Tables 5 and 6.

Other changes in ASN under the various error rate and hypothesis conditions were again predicted by Wald's SPRT theory. For example, ASN is expected to decrease as α or β increases and as the indifference region around θ_c increases. Tables 5 and 6 show that this did occur under El and E2.

Summary and Conclusions

Administering a test using Wald's sequential probability ratio testing procedure on item pools which contain IRT parameter estimates rather than known values did not appear to have much effect on observed mastery or nonmastery classification error rates. These observed error rates were smaller when it was assumed that the item parameters were actually MLEs based on prior calibrations involving examinees with known abilities. However, these smaller observed error rates were not appreciably different from the absent-error condition, <u>E</u>1. Observed error rates under both estimation error conditions were still smaller than the nominal rates established prior to testing and this would appear to be the most important finding regarding error rates.

It should be pointed out that the <u>amount</u> of error in the item parameters was based on several assumptions. First, it was assumed that, during the item calibrations, ability was known. This is rarely true because ability almost always must be estimated in practice. Estimation of ability would increase the amount of error in the item parameter estimates, thereby magnifying the effects of estimation on the SPRT results. Second, the errors were derived under the assumption of normality for the (unidimensional) ability distribution. And finally these error estimates were based on asymptotic standard error formulae and large sample sizes of items and examinees were assumed.

The estimation error condition did appear to have some effect on the observed α rate when the largest indifference region was simulated (H4). How important this effect is in practice remains to be seen because the simulations still produced an α^* rate less than the nominal average and because this α^* rate occurred with an indifference region (-1.0, 1.0) which may be too large to be useful in actual SPRT administrations.

13

One noticeable finding involving β^* was the amount of decrease in this error rate, regardless of the estimation error condition, when the nature of the item pool changed in terms of item parameters. Wald's SPRT theory makes use of the local independence assumption of IRT through the formulation of the likelihood functions under H₀ and H₁ as products of probabilities. There is nothing in the SPRT theory which requires that these probabilities be constant from item to item within the pool. And yet, from Table 3, it is obvious that when these probabilities varied considerably from item to item (I4), β^* was significantly smaller than when the items did not vary at all (I1, I2 and I3 under E1) or varied by a very small amount (I1, I2, and I3 under E2). A similar effect on α^* was not observed.

On the other hand, the ASN was much larger under the <u>I4</u> item pool condition, thereby leading to the following conclusion. When items are administered via SPRT procedures and those items vary considerably in P_i for a given examinee, then the ASN will be larger and the β^* rate smaller than for SPRT item pools in which the variability of P_i is smaller.

The estimation error condition did yield higher ASN values at all true θ values, in general, but these increases did not appear to be significant with the item parameter estimation error used in these simulations. According to SPRT theory, the ASN of any SPRT will be a maximum for some θ value within the indifference region, (θ_0, θ_1) . The rather large values of ASN for the <u>H</u>l condition, regardless of estimation error, suggest that this hypothesis could yield ASN values greater than 50 items for some examinees with θ between -.25 and .25. Therefore, <u>H</u>l may be an impractical hypothesis to consider for actual SPRT administrations due to the increased test length. Hypothesis <u>H</u>2 or <u>H</u>3 may be more reasonable in practice.

When items from item pools are chosen on some nonrandom basis (e.g., selecting items which maximize $I(\hat{\theta}_n)$ on the basis of estimates of ability, $\hat{\theta}_n$), the variability of P_i for a given examinee may be minimal, and the effects of using SPRT in a CAT situation, for example, are not expected to change the characteristics of the test from those predicted by the SPRT theory, even when item parameter estimates are used. In fact, when administered as an SPRT, the CAT may even require fewer items and yield smaller classification errors when items are selected for administration on the basis of maximum information. Therefore, a second phase of this research will examine the characteristics of an SPRT when items are administered randomly from I4 versus when the items are administered on the basis of max I(θ), with θ known. A third study will compare the results of the max I(θ) procedure of item selection versus a max I($\hat{\theta}_n$) procedure, where θ is unknown and must be estimated after each item is presented.

REFERENCES

- Reckase, M. D. (1983). A procedure for decision making using tailored testing. In D. J. Weiss (Ed.), <u>New horizons in testing: Latent trait test theory and</u> <u>computerized adaptive testing</u> (pp. 237-255). New York: Academic Press.
- Thissen, D., & Wainer, H. (1982). Some standard errors in item response theory. Psychometrika, 47, 397-412.

Wald, A. (1947). Sequential analysis. New York: Wiley.

			*
Actual	Alpha	Rate	(a)

			Estimation	Error
	<u>N</u>		E1 Absent	<u>E</u> 2 Present
Overall <u>Mean</u>	144		.036 (0.26)	.033 (.027)
	36	<u>1</u> 1	.034 (.026)	.031 (.027)
Item	36	<u>1</u> 2	.039 (.028)	.036 (.027)
Pool Means	36	<u>1</u> 3	.033 (.026)	.033 (.028)
	36	<u>1</u> 4	.037 (.027)	.033 (.026)
α Rate	48	<u>A</u> 1 (.01)	.007 (.002)	.005 (.002)
Means	48	<u>A</u> 2 (.05)	.034 (.008)	.030 (.009)
	48	<u>A</u> 3 (.10)	.067 (.014)	.065 (.015)
	48	<u>B</u> 1 (.01)	.036 (.027)	.033 (.027)
ß Rate <u>Means</u>	48	<u>B</u> 2 (.05)	.036 (.027)	.0 33 (.027)
	48	<u>B</u> 3 (.10)	.036 (.026)	.034 (.027)
	36	<u>H</u> 1 (± .25)	.039 (.028)	.037 (.029)
Hypothesis	36	<u>H</u> 2 (± .50)	.039 (.027)	.038 (.027)
Means	36	– <u>н</u> з (± .75)	.032 (.025)	.0 32 (. 027)
	36	- H4 (±1.00)	.034 (.027)	.027 (.023)

Note: Standard deviations are given in parentheses in columns 6 and 8.

				Estimation		
	м	<u></u>		El sent		E2 esent
	12	<u>A</u> 1	.007	(.002)	.004	(.001)
<u>H</u> 1	12	<u>A</u> 2	.038	(.007)	.035	(.007)
	12	<u>A</u> 3	.073	(.006)	.072	(.007)
	12	<u>A</u> 1	.008	(.002)	.007	(.001)
<u>H</u> 2	12	<u>A</u> 2	.038	(.006)	.035	(.008)
	12	<u>A</u> 3	.070	(.009)	.071	(.008)
	12	<u>A</u> 1	.005	(.002)	.004	(.001)
<u>H</u> 3	12	<u>A</u> 2	.029	(.006)	.027	(.008)
	12	<u>A</u> 3	.061	(.014)	.065	(.015)
	12	<u>A</u> 1	.006	(.003)	.004	(.002)
<u>H</u> 4	12	<u>A</u> 2	.032	(.009)	.0 24	(.006)
	12	<u>A</u> 3	.063	(.021)	.052	(.019)

					TAB	LE 2			
Actual	Alpha	Rate	(α [*])	Means	and	Standard	Deviations	by	Hypothesis

<u>Note</u>: <u>A</u>1 = .01, <u>A</u>2 = .05, and <u>A</u>3 = .10.

Actual Beta Rate (β^{\star})

	<u> </u>		Estimatio	n Error
	<u>N</u>		El Absent	E2 Present
Overall <u>Mean</u>	144		.032 (.025)	.031 (.026)
	36	<u>I</u> 1	.036 (.027)	.035 (.027)
Item Pool	36	<u>I</u> 2	.037 (.027)	.035 (.028)
Means	36	<u>I</u> 3	.032 (.025)	.033 (.028)
	36	<u>1</u> 4	.023 (.020)	.022 (.021)
α Rate <u>Means</u>	48 48	<u>A</u> 1 (.01) <u>A</u> 2 (.05)	.032 (.025) .032 (.025)	.030 (.026) .032 (.027)
	48	<u>A</u> 3 (.10)	.032 (.026)	.031 (.027)
	48	<u>B</u> 1 (.01)	.007 (.003)	.006 (.002)
β Rate <u>Means</u>	48	<u>B</u> 2 (.05)	.030 (.011)	.028 (.012)
	48	<u>B</u> 3 (.10)	.060 (.019)	.060 (.021)
	36	<u>H</u> 1 (± .25)	.041 (.027)	.039 (.030)
Hypothesis Means	36	H2 (± .50)	.036 (.028)	.034 (.026)
	36	<u>H</u> 3 (± .75)	.027 (.022)	.027 (.023)
	3 6	<u>H</u> 4 (±1.00)	.024 (.020)	.025 (.023)

Note: Standard deviations are given in parentheses in columns 6 and 8.

					ion Error	•	
Item Pool	<u>N</u>	β	A	<u>El</u> bsent	Pr	E2 Present	
				bbene		coene	
	12	<u>B</u> 1	.007	(.002)	.008	(.003)	
<u>I</u> 1	12	<u>B</u> 2	.034	(.010)	.033	(.012)	
	12	<u>B</u> 3	.066	(.016)	.066	(.018)	
	12	<u>B</u> 1	.007	(.001)	.006	(.002)	
		-					
<u>1</u> 2	12	<u>B</u> 2	.037	(.005)	.033	(.004)	
	12	<u>B</u> 3	.069	(.014)	.066	(.022)	
	12	<u>B</u> 1	.008	(.002)	.005	(.001)	
<u>1</u> 3	12	<u>B</u> 2	.027	(.012)	.028	(.011)	
	12	<u>B</u> 3	.06 1	(.016)	.066	(.014)	
	12	<u>B</u> 1	.006	(.005)	.004	(.001)	
<u>1</u> 4	12	<u>B</u> 2	.0 20	(.011)	.019	(.011)	
	12	<u>B</u> 3	.043	(.019)	.043	(.019)	

			*							
Actual	Beta	Rate	(B)	Means	and	Standard	Deviations	by	Item	Pool

TABLE 4

<u>Note</u>: $\underline{B1} = .01$, $\underline{B2} = .05$, and $\underline{B3} = .10$.

ASN (H_1)

					Estimation		
	<u>N</u>				El sent	Pre	E2 sent
Overall <u>Mean</u>	144			17.6	(19.6)	18.7	(20.9)
	36	īl		13.5	(14.3)	13.8	(14.7)
Ite m Pool	36	<u>1</u> 2		16.7	(16.8)	20.0	(20.5)
Means	36	<u>1</u> 3		10.2	(9.6)	10.4	(9.9)
	36	<u>1</u> 4		30.0	(27.6)	30.5	(28.6)
. Data	48	<u>A</u> 1	(.01)	22.8	(25.4)	25.5	(27.5)
α Rate <u>Means</u>	48	<u>A</u> 2	(.05)	16.9	(17.2)	17.1	(17.8)
	48	<u>A</u> 3	(.60)	13.1	(13.4)	13.4	(13.8)
	48	<u>B</u> 1	(.01)	18.4	(20.6)	20.0	(22.6)
ß Rate <u>Means</u>	48	<u>B</u> 2	(.05)	17.1	(19.1)	19.0	(21.7)
	48	<u>B</u> 3	(.10)	17.3	(19.4)	17.0	(18.7)
	36	<u>H</u> 1	(±.25)	42.5	(24.2	46.8	(24.1)
Hypothesis	36	<u>H</u> 2	(±.50)	14.4	(7.2)	14.3	(7.1)
Means	36	<u>H</u> 3	(±.75)	8.2	(5.1)	8.2	(4.9)
	36	<u>. H</u> 4	(±1.00)	5.3	(3.3)	5.5	(3.3)

					Estimation	Error	
	<u>N</u>				El sent		E2 sent
						. <u>.</u>	
Overall <u>Mean</u>	144			16.2	(19.1)	17.0	(19.7)
	36	<u>1</u> 1		13.6	(14.6)	13.4	(14.0)
Item	36	<u>1</u> 2		16.2	(18.3)	19.3	(20.9)
Pool Means	36	<u>1</u> 3		9.4	(9.5)	9.4	(9.4)
	36	<u>1</u> 4		25.6	(26.6)	25.9	(26.5)
D	48	<u>A</u> 1	(.01)	15.7	(19.1)	18.1	(21.2)
α Rate <u>Means</u>	48	<u>A</u> 2	(.05)	17.0	(20.1)	17.0	(19.8)
	48	<u>A</u> 3	(.10)	15.9	(18.6)	15.9	(18.3)
	48	<u>B</u> 1	(.01)	21.8	(25.6)	23.2	(26.4)
ß Rate <u>Means</u>	48	<u>B</u> 2	(.05)	14.6	(15.9)	15.5	(16.2)
	48	<u>B</u> 3	(.10)	12.2	(12.5)	12.3	(12.7)
	36	<u>H</u> 1	(±.75	41.5	(23.3)	44.2	(22.0)
Hypothesis	36	<u>H</u> 2	(±.50)	12.4	(5.5)	12.8	(5.9)
Means	36	<u>H</u> 3	(±.75)	6.8	(3.1)	6.8	(3.1)
	36	<u>H</u> 4	(±1.00)	4.2	(1.7)	4.2	(1.8)

Note: Standard deviations are given in parentheses in columns 6 and 8.

Item Pool	Hypothesis	Cutoff Proportions		Indifference Region	
		πο	<u>π</u> 1	(π ₁ -π ₀)	
	<u>H</u> 1	.395	.605	.210	
<u>I</u> 1	<u>H</u> 2	.299	.701	.402	
	НЗ	.218	.782	.564	
	<u>H</u> 4	.154	.846	.692	
	<u>H</u> 1	.516	.684	.168	
<u>I</u> 2	<u>H</u> 2	.440	.760	.320	
	<u>H</u> 3	.337	.863	.526	
	<u>H</u> 4	.324	.876	.552	
	<u>H</u> 1	.477	.723	.246	
<u>1</u> 3	<u>H</u> 2	.375	.825	.450	
	<u>н</u> з	.303	.897	.594	
	<u>H</u> 4	•258	.942	.684	
	<u>H</u> 1	.540	.616	.076	(.093
<u>1</u> 4	<u>H</u> 2	.503	.655	.152	(.172
	<u>H</u> 3	.466	.692	.226	(.230
	<u>H</u> 4	.428	.728	.300	(.270

Hypotheses and Indifference Regions in Terms of $\pi(\theta)$

<u>Note</u>: Standard deviations for the indifference regions in <u>14</u> are given in parentheses in column 6.

.

ONR Report Distribution List May 20, 1988

Dr. Terry Ackerman American College Testing Programs P.C. Box 108 Towa City, TA 52243

Dr. Robert Ahlers Code N711 Human Factors Laboratory Naval Training Systems Center Orlando, FL 32813

Dr. James Algina University of Florida Gainesville, FL 32605

Dr. Erling B. Andersen Department of Statistics Studiestraede 6 1455 Copenhagen DENMARK

Dr. Eva L. Baker UCLA Center for the Study of Evaluation 145 Moore Hall University of California Los Angeles, CA 90024

Dr. Isaac Bejar Educational Testing Service Princeton, NJ 08450

Dr. Menucha Birenbaum School of Education Tel Aviv University Tel Aviv, Ramat Aviv 69978 ISRAEL

Dr. Arthur S. Blaiwes Code N711 Naval Training Systems Center Orlando, FL 32813

Dr. Bruce Bloxom Defense Manpower Data Center 550 Camino El Estero, Suite 200 Monterey, CA 93943-3231 Dr. R. Darrell Bock University of Chicago NORC 6030 South Ellis Chicago, IL 60637 Cdt. Arnold Bohrer Sectie Psychologisch Onderzoek Rekruterings-En Selectiecentrum Kwartier Koningen Astrid **Brui**instraat 1120 Brussels, BELGIUM Dr. Robert Breaux Code N-095R Naval Training Systems Center Orlando, FL 32813 Dr. Robert Brennan American College Testing Programs P. D. Box 168 Jowa City, IA 52243 Dr. Lyle D. Broemeling ONR Code 1111SP 800 North Quincy Street Arlington. VA 22217 Mr. James W. Carey Commandant (G-PTE) U.S. Coast Guard 2100 Second Street, S.W. Washington, DC 20593 Dr. James Carlson American College Testing Program P.O. Box 168 lowa City, IA 52243 Dr. John B. Carroll 409 Elliott Rd. Chapel Hill, NC 27514 Dr. Robert Carroll OP 01B7 Washington, DC 20370 Mr. Raymond E. Christal AFHRL/MOE Brooks AFB, TX 78235

Dr. Norman Cliff Department of Psychology Univ. of So. California University Park Los Angeles, CA 90007

Director, Manpower Support and Readiness Program Center for Naval Analysis 2000 North Beauregard Street Alexandria, VA 22311

Dr. Stanley Collyer Office of Naval Technology Code 222 800 N. Quincy Street Arlington, VA 22217-5000

Dr. Hans Crombag University of Leyden Education Research Center Boerhaavelaan 2 2334 EN Leyden The NETHERLANDS

Dr. Timothy Davey Educational Testing Service Princeton, NJ 08541

Dr. C. M. Dayton Department of Measurement Statistics & Evaluation College of Education University of Maryland College Park, MD 20742

Dr. Ralph J. DeAvala Measurement, Statistics, and Evaluation Benjamin Building University of Maryland College Park, MD 20742

Dr. Dattprasad Divgi Center for Naval Analysis 4401 Ford Avenue P.D. Box 16268 Alexandria, VA 22302-0268 Dr. Hei-Ki Dong Bell Communications Research 6 Corporate Place PYA-1k226 Piscataway, NJ 08854 Dr. Fritz Drasgow University of Illinois Department of Psychology 603 E. Daniel St. Champaign. IL 61820 Defense Technical Information Center Cameron Station, Bldg 5 Alexandria, VA 22314 Attn: TC (12 Copies) Dr. Stephen Dumbar Lindquist Center for Measurement University of Iowa Iowa City, IA 52242 Dr. James A. Earles Air Force Human Resources Lab Brooks AFB, TX 78235 Dr. Kent Eaton Army Research Institute 5001 Eisenhower Avenue Alexandria. VA 22333 Dr. John M. Eddins University of Illinois 252 Engineering Research Laboratory 103 South Mathews Street Urbana. IL 61801 Dr. Susan Embretson University of Kansas Psychology Department 426 Fraser Lawrence, KS 66045 Dr. George Englehard, Jr. Division of Educational Studies Emory University 201 Fishburne Bldg.

Atlanta, GA 80322

Dr. Benjamin A. Fairbank Performance Metrics, Inc. 5825 Callaghan Suite 225 San Antonio, TX 78228

Dr. Pat Federico Code 511 NPRDC San Diego, CA 92152-6800

Dr. Leonard Feldt Lindquist Center for Measurement University of Iowa Iowa City, IA 52242

Dr. Richard L. Ferguson American College Testing Program P.O. Box 168 Iowa City, IA 52240

Dr. Gerhard Fischer Liebiggasse 5/3 A 1010 Vienna AUSTRIA

Dr. Myron Fischl Army Research Institute 5001 Eisenhower Avenue Alexandria, VA 22333

Prof. Donald Fitzgeraid University of New England Department of Psychology Armidale, New South Wales 2351 AUSTRALIA

Mr. Paul Foley Navy Personnel R&D Center San Diego, CA 92152-6800

Dr. Alfred R. Fregly AFOSR/NL Bolling AFB, DC 20332

Dr. Pobert D. Gibbons Illinois State Psychiatric Inst. Rm 529W 1601 W. Tavlor Street Chicago. IL 80612 Dr. Janice Gifford University of Massachusetts School of Education Amherst, MA 01003

Dr. Robert Glaser Learning Research & Development Center University of Pittsburgh 3939 O'Hara Street Pittsburgh, PA 15260

Dr. Bert Green Johns Hopkins University Department of Psychology Charles & 34th Street Baltimore, MD 21218

Dipl. Pad. Michael W. Habon Universitat Dusseldorf Erziehungswissenschaftliches Universitatsstr. 1 D-4000 Dusseldorf 1 WEST GERMANY

Dr. Ronald K. Hambleton Prof. of Education & Psychology University of Massachusetts at Amherst Hills House Amherst, MA 01003

Dr. Delwyn Harnisch University of Illinois 51 Gerty Drive Champaign, IL 61820

Dr. Grant Henning Senior Research Scientist Division of Measurement Research and Services Educational Testing Service Princeton. NJ 08541

Ms. Rebecca Hetter Navy Personnel R&D Center Code 62 San Diego, CA 92152-6800

Dr. Paul W. Holland Educational Testing Service Rosedale Road Princeton, NJ 08541 Prof. Lutz F. Hornke Institut fur Psychologie RWTH Aachen Jaegerstrasse 17/19 D-5100 Aachen WEST GERMANY

Dr. Paul Horst 677 G Street, #184 Chula Vista, CA 90010

Mr. Dick Hoshaw OP-135 Arlington Annex Room 2834 Washington, DC 20350

Dr. Lloyd Humphreys University of Illinois Department of Psychology 603 East Daniel Street Champaign, IL 61820

Dr. Steven Hunka Department of Education University of Alberta Edmonton, Alberta CANADA

Dr. Huynh Huynh College of Education Univ. of South Carolina Columbia, SC 29208

Dr. Robert Jannarone Department of Psychology University of South Carolina Columbia, SC 29208

Dr. Dennis E. Jennings Department of Statistics University of Illinois 1409 West Green Street Urbana, IL 61801

Dr. Douglas H. Jones Thatcher Jones Associates P.O. Box 6640 10 Trafalgar Court Lawrenceville, NJ 08646 Dr. Milton S. Katz Army Research Institute 5001 Eisenhower Avenue Alexandria, VA 22333

Prof. John A. Keats Department of Psychology University of Newcastle N.S.W. 2308 AUSTRALIA

Dr. G. Gage Kingsbury Portland Public Schools Research and Evaluation Department 501 North Dixon Street P. O. Box 3107 Portland, OR 97209-3107

Dr. William Koch University of Texas-Austin Measurement and Evaluation Center Austin, TX 78703

Dr. James Kraatz Computer-based Education Research Laboratory University of Illinois Urbana, IL 61801

Dr. Leonard Kroeker Navy Personnel R&D Center San Diego, CA 92152-6800

Dr. Daryll Lang Navy Personnel R&D Center San Diego, CA 92152-6300

Dr. Jerry Lehnus Defense Manpower Data Center Suite 400 1600 Wilson Blvd Rosslyn. VA 22209

Dr. Thomas Leonard University of Wisconsin Department of Statistics 1210 West Dayton Street Madison. WI 53705 Dr. Michael Levine Educational Psychology 210 Education Bldg. University of Illinois Champaign, IL 61801

Dr. Charles Lewis Educational Testing Service Princeton, NJ 08541

Dr. Robert Linn College of Education University of Illinois Urbana, IL 61801

Dr. Robert Lockman Center for Naval Analysis 4401 Ford Avenue P.O. Box 16268 Alexandria, VA 22302-0268

Dr. Frederic M. Lord Educational Testing Service Princeton, NJ 08541

Dr. George B. Macready Department of Measurement Statistics & Evaluation College of Education University of Maryland College Park, MD 20742

Dr. Milton Maier Center for Naval Analysis 4401 Ford Avenue P.O. Box 16268 Alexandria, VA 22302-0268

Dr. William L. Maloy Chief of Naval Education and Training Naval Air Station Pensacola, FL 32508

Dr. Gary Marco Stop 31-E Educational Testing Service Princeton, NJ 08451

Dr. Clessen Martin Army Research Institute 5001 Eisenhower Blvd. Alexandria, VA 22333 Dr. James McBride Psychological Corporation c/o Harcourt, Brace, Javanovich Inc. 1250 West 6th Street San Diego, CA 92101 Dr. Clarence McCormick HO. MEPCOM MEPCT-P 2500 Green Bav Road North Chicago, IL 60064 Dr. Robert McKinley Educational Testing Service 20-P Princeton, NJ 08541 Dr. James McMichael Technical Director Navy Personnel R&D Center San Diego, CA 92152 Or. Barbara Means Human Resources Research Organization 1100 South Washington Alexandria, VA 22314 Dr. Robert Mislevy Educational Testing Service Princeton, NJ 08541 Dr. William Montague NPRDC Code 13 San Diego, CA 92152-6800 Ms. Kathieen Moreno Navy Personnel R&D Center Code 62 San Diego, CA 92152-6800 Headquarters, Marine Corps Code MPI-20 Washington, DC 20380 Dr. W. Alan Nicewander University of Oklahoma Department of Psychology Oklahoma City, OK 73069

Deputy Technical Director NPRDC Code 01A 92152-6800 San Diego, CA Director, Training Laboratory. NPRDC (Code 05) San Diego, CA 92152-6800 Director, Manpower and Personnel Laboratory. NPRDC (Code 06) San Diego, CA 92152-6800 Director, Human Factors & Organizational Systems Lab. NPRDC (Code 07) San Diego, CA 92152-6800 Fleet Support Office, NPRDC (Code 301) San Diego, CA 92152-6800 Library, NPRDC Code P201L San Diego, CA 92152-6800 Commanding Officer, Naval Research Laboratory Code 2627 Washington, DC 20390 Dr. Harold F. D'Neil, Jr. School of Education - WPH 801 Department of Educational Psychology & Technology University of Southern California Los Angeles, CA 90089-0031 Dr. James Olson WICAT, Inc. 1875 South State Street Orem, UT 84057 Office of Naval Research, Code 114203 800 N. Quincy Street Arlington, VA 22217-5000 (6 Copies) Office of Naval Research, Code 125 800 N. Quincy Street Arlington, VA 20217-5000

Assistant for MPT Research. Development and Studies OP 0187 Washington, DC 20370 Dr. Judith Orasanu Armv Research Institute 5001 Eisenhower Avenue Alexandria, VA 22333 Dr. Jesse Orlansky Institute for Defense Analyses 1901 N. Beauregard St. Alexandria, VA 22311 Dr. Randolph Park Army Research Institute 5001 Eisenhower Blvd. Alexandria, VA 22333 Wavne M. Patience American Council on Education GED Testing Service, Suite 20 One Dupont Circle, NW Washington, DC 20036 Dr. James Paulson Department of Psychology Portland State University P.O. Box 751 Portland, OR 97207 Administrative Sciences Department. Naval Postoraduate School Monterey. CA 93940 Department of Operations Research. Naval Postgraduate School Monterey, CA 93940 Dr. Mark D. Reckase ACT P. O. Box 168 Iowa City. IA 52243 Or. Malcolm Ree AF HRLZMP Brooks AFB, TX 78235 Dr. Barry Riegelhaupt HumRRD 1100 South Washington Street Alexandria, VA 22314

Dr. Carl Ross CNET-PDCD Building 90 Great Lakes NTC, IL 60083

Dr. J. Ryan Department of Education University of South Carolina Columbia, SC 29208

Dr. Fumiko Samejima Department of Psychology University of Tennessee 3108 AustinPeay Bidg. Knoxville, TN 37916-0900

Mr. Drew Sands NPRDC Code 62 San Diego, CA 92152-6800

Lowell Schoer Psychological & Quantitative Foundations College of Education University of Iowa Iowa City, IA 52242

Dr. Mary Schratz Navy Personnel R&D Center San Diego, CA 92152-6800

Dr. Dan Segall Navy Personnel R&D Center San Diego, CA 92152

Dr. W. Steve Sellman OASD(MRA&L) 2B269 The Pentagon Washington, DC 20301

Dr. Kazuo Shigemasu 7-9-24 Kugenuma-Kaigan Fujusawa 251 JAPAN

Dr. William Sims Center for Naval Analysis 4401 Ford Avenue P.O. Box 16268 Alexandria, VA 22302-0268 Dr. H. Walłace Sinaiko Manpower Research and Advisory Services Smithsonian Institution 801 North Pitt Street Alexandria, VA 22314

Dr. Richard E. Snow Department of Psychology Stanford University Stanford, CA 94306

Dr. Richard Sorensen Navy Personnel R&D Center San Diego, CA 92152-6800

Dr. Paul Speckman University of Missouri Department of Statistics Columbia, MO 65201

Dr. Judy Spray ACT P.O. Box 16B Iowa City, IA 52243

Dr. Martha Stocking Educational Testing Service Princeton, NJ 08541

Dr. Peter Stoloff Center for Naval Analysis 200 North Beauregard Street Alexandria, VA 22311

Dr. William Stout University of Illinois Department of Statistics 101 Illini Hall 725 South Wright St. Champaign, IL 61820

Dr. Hariharan Swaminathan Laboratory of Psychometric and Evaluation Research School of Education University of Massachusetts Amherst, MA 01003

Mr. Brad Sympson Navy Personnel R&D Center San Diego. CA 92152-6800

·....

Dr. John Tangney AFOSR/NL Bolling AFB, DC 20332

Dr. Kikumi Tatsuoka CERL 252 Engineering Research Laboratory Urbana, IL 61801

Dr. Maurice Tatsuoka 220 Education Bldg 1310 S. Sixth St. Champaign, IL 61820

Dr. David Thissen Department of Psychology University of Kansas Lawrence, KS 66044

Mr. Gary Thomasson University of Illinois Educational Psychology Champaign, IL 61820

Dr. Robert Tsutakawa University of Missouri Department of Statistics 222 Math. Sciences Bldg. Columbia, MO 65211

Dr. Ledyard Tucker University of Illinois Department of Psychology 603 E. Daniel Street Champaign, IL 61820

Dr. Vern W. Urry Personnel R&D Center Office of Personnel Management 1900 E. Street, NW Washington, DC 20415

Dr. David Vale Assessment Systems Corp. 2233 University Avenue Suite 310 St. Paul, MN 55114

Dr. Frank Vicino Navy Personnel R&D Center San Diego, CA 92152-6800 Dr. Howard Wainer Division of Psychological Studies Educational Testing Service Princeton, NJ 08541 Dr. Ming-Mei Wang Lindquist Center for Measurement University of Iowa Iowa City, IA 52242 Dr. Thomas A. Warm Coast Guard Institute P. O. Substation 18 Oklahoma City, OK 73169 Dr. Brian Waters Program Manager Manpower Analysis Program HumRRO 1100 S. Washington St. Alexandria, VA 22314 Dr. David J. Weiss N660 Elliott Hall University of Minnesota 75 E. River Road Minneapolis, MN 55455 Dr. Ronald A. Weitzman NPS. Code 54Wz Monterev. CA 92152-6800 Major John Welsh AFHRL/MOAN Brooks AFB, TX 78223 Dr. Douglas Wetzel Code 12 Navv Personnel R&D Center San Diego, CA 92152-6800 Dr. Rand R. Wilcox University of Southern California Department of Psychology Los Angeles, CA 90007

German Military Representative ATTN: Wolfgang Wildegrube Streitkraefteamt D-5300 Bonn 2 4000 Brandywine Street, NW Washington, DC 20016

Dr. Bruce Williams Department of Educational Psychology University of Illinois Urbana, IL 61801

Dr. Hilda Wing NRC GF-176 2101 Constitution Ave Washington, DC 20418

Dr. Martin F. Wiskoff Navy Personnel R & D Center San Diego, CA 92152-6800

Mr. John H. Wolfe Navy Personnel R&D Center San Diego, CA 92152-6800

Dr. George Wong Biostatistics Laboratory Memorial Sloan-Kettering Cancer Center 1275 York Avenue New York, NY 10021

Dr. Wallace Wulfeck, III Navy Personnel R&D Center San Diego, CA 92152-6300

Dr. Kentaro Yamamoto Educational Testing Service Rosedale Road Princeton, NJ 08541

Dr. Wendy Yen CTB/McGraw Hill Del Monte Research Park Monterev. CA 93940

Dr. Joseph L. Young Memory & Cognitive Processes National Science Foundation Washington, OC 20550 Dr. Anthony R. Zara National Council of State Boards of Nursing, Inc. 625 North Michigan Ave. Suite 1544 Chicago, IL 60611 .

.